

FORM PTO-1390  
(REV 11-98)TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371ATTORNEY DOCKET NUMBER  
1776/4066U.S. APPLICATION NO. (Unknown, see 37 CFR 1.51  
b) 09/890321INTERNATIONAL APPLICATION  
PCT/JP00/08482INTERNATIONAL FILING DATE  
30 November 2000 (30.11.00)PRIORITY DATE CLAIMED  
30 November 2000 (30.11.00)  
30 November 2000 (30.11.00)  
18 September 2000 (18.09.00)TITLE OF INVENTION  
(REACTIVE MONOMER COMPOSITION MODIFIED BY A SMALL-AMOUNT OF LACTONES, AN ACRYLIC POLYOL  
RESIN, A CURABLE RESIN COMPOSITION, AND A COATING COMPOSITION

APPLICANT(S) FOR DO/EO/US

Okazaki, Akira

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371 (b) and PCT Articles 22 and 39 (1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☒ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11. to 16. below concern document(s) or information included.**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. and copy of Search Report.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or Information:

PCT Request – (first page only) PCT /R0/101)

Verified Certification of Express Mailing Date (International Application) under 37 C.F.R. § 1.10(c)

Form PCT/IB/304 Notification Concerning Submission or Transmittal of Priority Document

Notification informing applicant of communication of the International Application to Designated Office (PCT/IB/308)

Notification of Receipt of Record Copy (PCT/IB/301)

Published application WO 01/40329 A1

Return receipt postcard

U.S. APPLICATION NO. (if known, see 37 CFR 1.53) <b>09/890321</b>		INTERNATIONAL APPLICATION NO. <b>PCT/JP00/08482</b>		AT PCT/PTO DOCKET NO. <b>1776/4066</b>	
17. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE</b> (37 CFR 1.492 (a) (1) - (5) ): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO and International Search Report not prepared by the EPO or JPO.....\$1000.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO....\$860.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2) paid to USPTO.....\$710.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33 (1) - (4).....\$690.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1) - (4).....\$100.00  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>CALCULATIONS</b> PTO USE ONLY          <div style="border: 1px solid black; padding: 5px; width: fit-content; margin: auto;">\$      860.00</div>	
Surcharge of <b>\$130</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	36 - 20 =	16	X \$18.00	\$	288.00
Independent claims	6 - 3 =	3	X \$80.00	\$	240.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$	1,388.00
Reduction of ½ for filing by small entity, if applicable. Applicant hereby asserts that it is a small entity, and entitled to ½ reduction in fees.				\$	
<b>SUBTOTAL =</b>				\$	1,388.00
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
<b>TOTAL NATIONAL FEE =</b>				\$	1,388.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property				\$	
<b>TOTAL FEES ENCLOSED</b>				\$	1,388.00
				Amount to be refunded:	\$
				charged	\$
a. <input type="checkbox"/> A check in the amount of to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 13-4500 in the amount of \$1388.00 to cover the above fees. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-4500, ORDER NO. 1776-4066. A duplicate copy of this sheet is enclosed.					
<b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: Morgan & Finnegan LLP 345 Park Avenue New York, NY 10154-0053  Telephone: 212-758-4800 Telecopier: 212-751-6849				<div style="border-bottom: 1px solid black; display: inline-block; width: 150px;">Daryl H. Steensma</div> SIGNATURE  Daryl H. Steensma NAME  43,155 REGISTRATION NO.	

Docket No. 1776/4066

IN THE UNITED STATES

☒ RECEIVING OFFICE (RO/US)  
☐ DESIGNATED OFFICE (DO/US)  
☐ ELECTED OFFICE (EO/US)

INTERNATIONAL APPLICATION NO. PCT/JP00/08482	INTERNATIONAL FILING DATE 30 November 2000 (30.11.00)	EARLIEST PRIORITY DATE CLAIMED 30 November 2000 (30.11.00) 30 November 2000 (30.11.00) 18 September 2000 (18.09.00)
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TITLE OF INVENTION  
(REACTIVE MONOMER COMPOSITION MODIFIED BY A SMALL-AMOUNT OF LACTONES, AN ACRYLIC POLYOL  
RESIN, A CURABLE RESIN COMPOSITION, AND A COATING COMPOSITION)  
APPLICANT(S)  
Okazaki, Akira

Commissioner for Patents  
Box PCT  
Washington, D.C. 20231

Attention: RO/US

**VERIFIED CERTIFICATION OF EXPRESS MAILING DATE  
(INTERNATIONAL APPLICATION (37 CFR 1.10(c)))**

I declare that on July 27, 2001 deposited with the United States Postal Service in an envelope "Express Mail, Post Office to Addressee", bearing Label Number EL853178792US, addressed to the "BOX PCT, Commissioner for Patents, Washington, D.C. 20231, Attention: RO/US and having an express mail certification which I executed, the following papers:

PCT Request – (first page only) PCT/R0/101)  
Verified Certification of Express Mailing Date (International Application) under 37 C.F.R. § 1.10(c)  
Transmittal Letter Concerning Filing Under 35 USC 371  
Notification of Receipt of Record Copy (PCT/IB/301)  
Form PCT/IB/304 Notification Concerning Submission or Transmittal of Priority Document  
Notification informing applicant of communication of the International Application to Designated Office (PCT/IB/308)  
Published application W0 01/40329 A1  
International application (Pages 1-357)  
Preliminary Amendment  
Return receipt postcard

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application of any patent issuing thereon.

**J. R. Remedios**

(Typed or printed name of person making this verified statement)

Date July 27, 2001

(Signature of person making this verified statement)